As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

### SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC ACIDS ENCODING THE SAME

the specification of which (check one) is attached hereto or  $\underline{X}$  was filed on  $\underline{May 25, 2001}$  as Application Serial No.  $\underline{09/866,028}$  and was amended on (if applicable).

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I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) of any foreign application(s) for patent or

inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate have a filing date before that of the application on which priority is claimed: **Priority Claimed** Prier Foreign Application(s): No Yes Day/Month/Year Filed Country Number Thereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional applications(s) listed below: See attached Appendix A (listing 18 U.S. Provisional Patent Applications) Application Ser. No. Filing Date Thereby claim the benefit under Title 35, United States Code, §120 of any United States applications(s) listed below and, insofar as The subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application: See Attached Appendix B (listing 19 U.S. and PCT Patent Applications) Status: Patented, Pending, Abandoned Application Ser. No. Filing Date Status: Patented, Pending, Abandoned Application Ser. No. Filing Date

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09157
PATENT TRADEMARK OFFICE

Send correspondence to Genentech, Inc.

South San Francisco, CA 94080

Attn: Elizabeth M. Barnes, Ph.D. Telephone: (650) 225-4461

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herein will be so notified by the undersigned.	
Full name of sole or first inventor	
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Post Office Address	

Full name of forth inventor	
Napoleon Ferrara	Date
Inventor's signature	Dale
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Citiz nship US	
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Full name of fifth joint inventor, if any	
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Full name of seventh inventor	
Audrey Goddard	
Inventor's signature	Date
Residence 110 Congo Street, San Francisco, California 94131	
Citizenship CA	
Post Office Address 1 DNA Way South San Francisco, CA 94080	

Full name of ighth joint inventor, if any Paul J. Godowski

Inventor's signature	Date	
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Citizenship US		
Post Office Address 1 DNA Way South San Francisco, CA 94080		
Full name of ninth joint inventor, if any		
J. Christopher Grimaldi		
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Citizenship US-		
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Aësin L. Gumey		
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Citizenship US		
Post Office Address 1 DNA Way South San Francisco, CA 94080		
Full name of eleventh joint inventor, if any		
Kenneth J. Hillan		
Inventor's signature	Date	
Residence 64 Seward Street, San Francisco, California 94114	4.	
Citizenship GB		
Post Office Address 1 DNA Way South San Francisco, CA 94080		

### Page 5 Full nam of twelfth joint inventor, if any Ivar J. Kljavin Date Inventor's signature Residence 811 Dell Road, Pacifica, California 94044 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of thirteenth inventor Mary A. Napier Date Inventor's signature Residence 1015 Hayne Road, Hillsborough, California 94010 Citizenship υ\$⊒ <u>√</u> Post Office Address 1 DNA Way South San Francisco, CA 94080 Full-name of fourteenth joint inventor, if any Margaret Ann Roy Date Inventor's signature Residence 2960 Webster Street #4, San Francisco, California 94123 Citizenship UŞ Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of fifteenth joint inventor, if any **Daniel Tumas** Date Inventor's signature Residence

3 Rae Avenue, Orinda, California 94563

Citizenship US

Post Office Address
1 DNA Way

South San Francisco, CA 94080

### Full name of sixteenth inventor

William I. Wood

Inventor's signature	Date
Residenc 35 Southdown Court, Hillsborough, California 94010	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	

### APPENDIX A

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional applications listed below:

```
60/067,411 filed December 3, 1997;
60/069,334 filed December 11, 1997;
60/069335 filed December 11, 1997;
60/069,278 filed December 11, 1997;
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60/070,440 filed January 5, 1998;
60/974,086 filed February 9, 1998;
60<del>/0</del>74,092 filed February 9, 1998;
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#### **APPENDIX B**

I hereby claim the benefit under Title 35, United States Code, §120 of any United States and PCT patent applications listed below:

4 11 1984

PCT/US98/19330 filed September 16, 1998;

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K vin P. Baker	
nyentor's signature	Date
Residence 14006 Indian Run Drive, Darnestown, Maryland 20878	
Citizenship	
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David Botstein	
Residence 2539 Somerset Drive, Belmont, California 94002	Date 8-1-01
Citizenship US	
Post Office Address I DNA Way South San Francisco, CA 94080	
Full name of third joint inventor, if any	
Dan L. Eaton	
Inventor's signature	Date
Residence 75 Knight Drive, San Rafael, California 94901	,
Citizenship US	
Post Office Address 1 DNA Way	

Tull ages - Madh Inventor	
Full nam I forth Inventor	
Napoleone Ferrara	
Inventor's signature	Date
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Citizenship US	
Post Office Address	
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Full name of fifth joint inventor, if any	
Ellen Filvaroff	
Inv ntor's signature	Date
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Citizenship	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of sixth joint inventor, if any	
<del>p=</del>	
Marý E. Gerritsen	Date
Inventor's signature	Date
Residence 541 Parrott Drive, San Mateo, California 94402	
Ciffzenship CA.	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of seventh inventor	
Audrey Goddard	
Inventor's signature	Date
Residence 110 Congo Street, San Francisco, California 94131	
Citiz nship CA	· .
Post Office Address 1 DNA Way South San Francisco, CA 94080	

Full name of lighth joint inventor, if any

Paul J.	Godowski

Inventor's signature	Date
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Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of ninth joint inventor, if any	
J. Christopher Grimaldi	
Inventor's signature	Date
Residence 1434 36 <sup>th</sup> Avenue, San Francisco, California 94122	
Citizenship US= 1	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full-name of tenth inventor	
Alistin L. Gumey	
Inventor's signature	Date
Residence 1 Debbie Lane, Belmont, California 94002	
Cifizenship US:	
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60/075,945 filed February 25, 1998;
60/412,850 filed December 16, 1998;
60/113,296 filed December 22, 1998;
607146,222 filed July 28, 1999.
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#### APPENDIX B

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lacknowledge the duty to Code of Federal Regula	o disclose informa ations, Section 1.	tion which is material ( 56.				
I hereby claim foreign pr inventor's certificate liste filing date before that o	ed below and have	e also identified below	any foreign applicat	(d) of any foreign app ion for patent or inve	olication(s) fo ntor's certific	r patent or ate have a
Prior Foreign Applicatio	on(s):				Priority Yes	Claimed No
Number	Country	Day/Month/Y	ear Filed			
Thereby claim the benefit See attached Apper Application Ser. No.	endix A (listin	<b>g 18 U.S. Provisi</b> Filing Date	onal Patent Ap	plications)		
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Herein will be 30 hourida by the andoroghour		
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Inventor's signature	Date	
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Full-hame of second joint inventor, if any  David Botstein		
Inventor's signature	Date	(
R sidence 2539 Somerset Drive, Belmont, California 94002		
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Full name of third joint inventor, if any		
Dan L. Eaton		
Inventor's signature	Date	
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Citizenship US		
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Full nam of forth invintor	
Napoleone Ferrara	
Inventor's signature	Date 8 7-01
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Citzenship CA	
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Full name of seventh inventor	
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Inventor's eignature	Date 8/1/01
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Citizenship CA	
Post Office Address 1 DNA Way South San Francisco, CA 94080	·

full name of lighth joint inventor, if any	
Paul J. Godowski	
nventor's significant	Date
esidenc 627 Easton Drive, Burlingame, California 94010	
itizenship IS	
ost Office Address DNA Way South San Francisco, CA 94080	· .
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. Christopher Grimaldi	
nventoris signature	8/4/7001
Residence 1434 36 <sup>th</sup> Avenue, San Francisco, California 94122	
Citizenship US=	
Post Office Address I DNA Way South San Francisco, CA 94080	
ul name of tenth inventor	
TE STATE OF THE ST	
nventor's signature	Date 8/6/2011
Residence 1 Debbie Lane, Belmont, California 94002	9/0/ 00.1
Citizenship US	-
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of eleventh joint inventor, if any	
Kenneth J. Hillan	
Inventor's signature  WA	Date   +1 < / >
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Ivar J. Kljavin	
nventor's signature	Date
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Mary A. Napier	
Inventor's signature	Date
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Citizenship	
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full-name of fourteenth joint inventor, if any  Margaret Ann Roy	
Inventor's signature	Date
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Cflizenship U6	·
Post Office Address 1 DNA Way South San Francisco, CA 94080	
Full name of fifteenth joint inventor, it any  Daniel Tumas	9-7:001
Inventor's signature	Date
Residence 3 Rae Avenue, Orinda, California 94563	
Citizenship US	
Post Office Address 1 DNA Way South San Francisco, CA 94080	

Full nam of	sixteenth	inventor
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Will	 •	14/	

Inventor's signature	Date 7/3/10/1
Residence	
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US	
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amended by any amen	dment referred to	above.				
l acknowledge the duty t Code of Federal Regul	o disclose informa ations, Section 1.	ition which is mater 56.	ial to the examination	of this application in ac	cordance w	rith Title 37,
I hereby claim foreign p inventor's certificate list filing date before that c	ed below and have	e also identified bel	ow any foreign applica	-(d) of any foreign appl ation for patent or inven	ication(s) fo tor's certific	or patent or cate have a
Prior Foreign Applicati	on(s):				Priority Yes	Claimed No
Number	Country	Day/Month	n/Year Filed			
See attached App Application Ser. No.	fit under Title 35 L	Filing Date Inited States Code	\$120 of any United S	tates applications(s) lis	sted below a	and, insofar
as the subject matter of	feach of the claims	s of this application . United States Cod	is not disclosed in the le. §112, Lacknowledg	prior United States ap ge the duty to disclose r	pilication in i material info	tne manner ormation as
defined in Title 37, Coonational or PCT intern	de of Federal Reg	ulations, §1.56 whi	ch occurred between	the filing date of the pr	ior applicat	ion and the
See Attached Apr	endix B (listir	ng 19 U.S. and	PCT Patent App	ications)		
Application Ser. No.		Filing Date	Status	: Patented, Pending,	Abandoned	ţ
Application Ser. No.		Filing Date	Status	: Patented, Pending,	Abandone	t
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09157
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Send correspondence to Genentech, Inc.

Attn: Elizabeth M. Barnes, Ph.D. Telephone: (650) 225-4461

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Full name of sole or first inventor		
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Inventor's signature	Date
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Full nam of sixteenth inventor

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Citizenship US		
Post Office Address 1 DNA Way South San Francisco, CA 94080		

### APPENDIX A

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional applications listed below:

```
60/067,411 filed December 3, 1997;
60/069,334 filed December 11, 1997;
60/069335 filed December 11, 1997;
60/069,278 filed December 11, 1997;
60/069,425 filed December 12, 1997;
60/069,696 filed December 16, 1997;
60/069,694 filed December 16, 1997;
60/069,702 filed December 16, 1997;
60/069,870 filed December 17, 1997;
60/069,873 filed December 17, 1997;
60/968,017 filed December 18, 1997;
60/070,440 filed January 5, 1998;
60/074,086 filed February 9, 1998;
60<del>7</del>074,092 filed February 9, 1998;
60/075,945 filed February 25, 1998;
60/112,850 filed December 16, 1998;
60/113,296 filed December 22, 1998;
 60,146,222 filed July 28, 1999.
  1
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### **APPENDIX B**

I hereby claim the benefit under Title 35, United States Code, §120 of any United States and PCT patent applications listed below:

PCT/US98/19330 filed September 16, 1998; PCT/US98/25108 filed December 1, 1998; 09/216,021 filed December 16, 1998; 09/218,517 filed December 22, 1998; 09/254,311 filed March 3, 1999; PCT/US99/12252 filed June 2, 1999; PCT/US99/21090 filed September 15, 1999; PCT/US99/28409 filed November 30, 1999; PCT/US99/28313 filed November 30, 1999; PCT/US99/28301 filed December1, 1999; PCT/US99/30095 filed December 16, 1999; P€T/US00/03565 filed February 11, 2000; P€T/US00/04414 filed February 22, 2000; PCT/US00/05841 filed March 2, 2000; P€T/US00/08439 filed March 30, 2000; PCT/US00/14042 filed May 22, 2000; PCT/US00/20710 filed July 28, 2000; P€T/US00/32678 filed December 1, 2000;

PCT/US01/06520 filed February 28, 2001.

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

# SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC ACIDS ENCODING THE SAME

the specification of which (check one)  $_{\rm i}$  is attached hereto or  $_{\rm i}$  was filed on  $_{\rm i}$  as Application Serial No.  $_{\rm i}$  09/866,028 and was amended on  $_{\rm i}$  (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) of any foreign application(s) for patent or

inventor's certificat	e listed below and ha	ave also identified below n on which priority is cla	any foreign applicat	ion for patent or invent	or's certific	ate have a
Prior Foreign Appl					Priority Yes	Claimed No
Number	Country	Day/Month/Y				
		United States Code, §1			ations(s) lis	sted below:
Application Ser. N	0.	ing 18 U.S. Provisi Filing Date				
as the subject matter provided by the first defined in Title 37,	ter of each of the clai st paragraph of Title : , Code of Federal Re	i, United States Code, §1 ms of this application is r 35, United States Code, egulations, §1.56 which te of this application:	not disclosed in the p 8112. Lacknowledge	orior United States app e the duty to disclose m	naterial info	ormation as
See Attached	Appendix B (lis	ting 19 U.S. and PC	CT Patent Appli	cations)	handanad	
Application Ser. N	0.	Filing Date	Status:	Patented, Pending, A	wandoned	l
Application Ser. N	lo.	Filing Date	Status:	Patented, Pending, A	bandoned	 

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Date Inventor's signature

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Full name of twelfth joint inventor, if any Ivar J. Kljavin Date Inventor's signature Residence 811 Dell Road, Pacifica, California 94044 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of thirteenth inventor Mary A. Napier Inventor's signature, Residence 1015 Hayne Road, Hillsborough, California 94010 Citizenship US \_ Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of fourteenth joint inventor, if any Margaret Ann Roy Date Inventor's signature Residence 2960 Webster Street #4, San Francisco, California 94123 Citizenship US Post Office Address 1 DNA Way South San Francisco, CA 94080 Full name of fifteenth joint inventor, if any **Daniel Tumas** Date Inventor's signature Residence 3 Rae Avenue, Orinda, California 94563 Citizenship US **Post Office Address** 1 DNA Way South San Francisco, CA 94080

Full nam of sixteenth inventor

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inventor's certifica	ate listed below and have	e also identified below	any toreign application for pater	nt or inventor's certificate have a
filing date before	that of the application of	on which priority is cla	med:	
Prier Foreign App	olication(s):			Priority Claimed Yes No
Number	Country	Day/Month/Y		
W			9(e) of any United States provisional Patent Applications	ional applications(s) listed below
Application Ser. I	No.	Filing Date		
as the subject ma provided by the fire defined in Title 37	atter of each of the claim:	s of this application is r , United States Code, { ulations, §1.56 which (	ot disclosed in the prior United t S112. I acknowledge the duty to	tions(s) listed below and, insofa States application in the manne disclose material information as e of the prior application and the
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William I. Wood		
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Full name of sixteenth inventor

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#### **APPENDIX B**

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PCT/US99/12252 filed June 2, 1999;

PCT/US99/21090 filed September 15, 1999;

PCT/US99/28409 filed November 30, 1999;

PCT/US99/28313 filed November 30, 1999;

PCT/US99/28301 filed December1, 1999;

P€T/US99/30095 filed December 16, 1999;

PCT/US00/03565 filed February 11, 2000;

PET/US00/04414 filed February 22, 2000;

P€T/US00/05841 filed March 2, 2000;

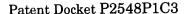
PCT/US00/08439 filed March 30, 2000;

PCT/US00/14042 filed May 22, 2000;

PCT/US00/20710 filed July 28, 2000;

PCT/US00/32678 filed December 1, 2000;

PCT/US01/06520 filed February 28, 2001.



# In re Application of

Kevin P. Baker, et al.

Serial No.: Filed herewith

Filed: Filed herewith

For: Secreted and Transmembrane

Polypeptides and Nucleic Acids

Encoding the Same

Group Art Unit: Unknown

Examiner: Unknown



## ASSOCIATE POWER OF ATTORNEY (37 CFR 1.34) AND CHANGE OF CORRESPONDENCE ADDRESS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**BOX: Patent Application** 

**Assistant Commissioner of Patents** 

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PATENT TRADEMARK OFFICE

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